



# Does predictability of fluxes vary between FLUXNET sites?

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**Abstract.** The FLUXNET dataset contains eddy covariance measurements from across the globe and represents an invaluable estimate of the fluxes of energy, water, and carbon between the land surface and the atmosphere. While there is an expectation that the broad range of site characteristics in FLUXNET result in a diversity of flux behaviour, there has been little exploration of how predictable site behaviour is across the network. Here, 155 datasets with 30 min temporal resolution from the Tier 1 of FLUXNET 2015 were analysed in a first attempt to assess individual site predictability. We defined site *uniqueness* as the disparity in performance between multiple empirical models trained globally and locally for each site and used this along with the mean performance as measures of predictability. We then tested how strongly uniqueness was determined by various site characteristics, including climatology, vegetation type, and data quality. The strongest determinant of predictability appeared to be that drier sites tended to be more unique. We found very few other clear predictors of uniqueness across different sites, in particular little evidence that flux behaviour was well discretised by vegetation type. Data length and quality also appeared to have little impact on uniqueness. While this result might relate to our definition of uniqueness, we argue that our approach provides a useful basis for site selection in LSM evaluation, and we invite critique and development of the methodology.

## 1 Introduction

The land surface is a key component of the climate system, as it provides feedbacks to atmospheric conditions via the exchange of heat, moisture, and carbon fluxes. These surface–atmosphere exchanges are contingent on the characteristics of the soil and vegetation. However, these interactions be-

tween the atmosphere and land are not uniform; for example, in hot, mesic environments net primary productivity (vegetation productivity) becomes less sensitive to the effect of climate (Bonan, 2015; e.g. increasing precipitation Chapin III et al., 2011; Del Grosso et al., 2008; Gillman et al., 2015; Huston and Wolverton, 2009; Schuur, 2003). Across the globe, variability in the productivity–climate relationship suggests that the behaviour of some ecosystems must be more predictable than others. Intuitively, the behaviour of ecosystems that experience marked stochasticity in precipitation (e.g. ecosystems that rely on monsoonal rains for growth) would likely be harder to predict than ecosystems that experience relatively consistent year-to-year conditions (e.g. the boreal zone, the wet tropics, or desert regions). Furthermore, whilst vegetation patterns are broadly understood at global scales (e.g. the Köppen climate classification, Kottek et al., 2006; and Whittaker’s biome classification, Whittaker, 1962), at local and regional scales, plants exhibit local-scale adaptations to their environment (e.g. soils and topography). Taken together, these relationships between climate and local factors point to a spectrum of site predictability. Perhaps surprisingly, the predictability of a site is rarely considered explicitly when choosing sites to evaluate models and never quantified.

Modern land surface models (LSMs) attempt to describe the exchange of energy, water, and more recently carbon by explicitly representing the soil–vegetation continuum (Pitman, 2003). Common to virtually all LSMs is an assumption that flux behaviour variations between biomes, given similar driving conditions, can be explained by a small sample of structural and physiological parameters grouped as plant functional types (PFTs). As a result, land modellers have sought observations from locations characteristic of these broad PFTs to develop and evaluate models. However, the actual practical representativeness of the PFTs of the under-

lying vegetation properties has only recently begun to be investigated (e.g. Alton, 2011), and no explicit empirical assessment of PFTs as a driver of predictability has been undertaken.

Land surface modellers often use FLUXNET data to evaluate their models and to tease out weaknesses with the goal of model improvement. In the 1990s and 2000s when only a handful of flux tower site measurements were available, the LSM community gravitated to these datasets, and hence observations taken at Cabauw (the Netherlands), Harvard Forest (USA), and near Manaus (Brazil) were widely used. Over the last 2 decades, direct measurement of land surface fluxes and meteorological variables has rapidly expanded, as new flux towers are installed and existing towers continue to gather data. FLUXNET 2015 (Fluxdata.org, 2018), as a synthesis of these measurements, represents a rich source of information about the exchange of carbon, water, and energy. The freely available release (Tier 1) encompasses over 150 sites and includes over 500 site-years of high temporal, quality-controlled data. These data provide an unparalleled opportunity to improve our observationally based understanding of land–atmosphere exchanges of carbon, water, and energy. They are also particularly useful for LSM evaluation since both the necessary driving variables (meteorological variables) and prediction variables (energy, water, and carbon fluxes) are reported at a spatio-temporal scale relevant to LSMs. As a consequence, land surface modellers have developed tools to enable the FLUXNET 2015 data to be used routinely (e.g. Ukkola et al., 2017). However, with hundreds of site datasets now freely available, site choice for model evaluation varies widely among the land surface community, with no common strategies for site selection. FLUXNET sites differ in many ways: in data record length (from less than 1 to greater than 20 years); in climate regime; and in soil and vegetation characteristics. Their similarity to each other also varies – FLUXNET is not evenly distributed over the globe and has higher density in more densely populated and wealthy regions, such as Western Europe and the north-east of the United States, with particularly heavy representation of temperate forests.

Despite obvious distinctions between sites in FLUXNET defined by precipitation regime, temperature, seasonal snow cover, and indeed PFT type, it is not immediately clear which of the more than 150 freely available sites are most useful for model evaluation. One might assume that given the diversity of sites, some are easier to simulate than others, and it seems sensible to assume that the choice of sites could have an impact on insight gained from model evaluation at these sites. However, assumptions about the predictability of different sites have not been explicitly tested. The lack of quantification of predictability means that site selection for evaluation is potentially susceptible to confirmation bias. That is, a modeller might unconsciously choose sites that are easier for their model to simulate, rather than selecting sites based on their instructiveness for identifying flaws in a model. For exam-

ple, consider the implications of evaluating a model against ten FLUXNET sites that happen to be the least predictable in comparison to evaluation against the 10 most predictable sites. In the former case, a modeller might become disillusioned with the apparent lack of skill of a potentially good model, while in the latter case a modeller might become overconfident concerning the skill of a poor model.

This issue of site predictability has been ignored in historical flux–model comparisons, in which modelling groups have generally not tried to explicitly justify their choice of sites or have based their reasoning around issues such as data availability or length of record. Chen et al. (1997) chose the Cabauw site for a multi-model intercomparison because it was considered relatively easy to simulate. Several authors chose longer (multi-year) sites (Balsamo et al., 2009; Lawrence et al., 2011; Wang et al., 2011). Some evaluation papers explicitly sought to sample a range of PFTs (Bonan et al., 2014; De Kauwe et al., 2015). Many highlighted choices based on the availability of gap-filled data (Krinner et al., 2005; Slevin et al., 2015; Wang et al., 2011). A few papers highlighted the high natural variability of a site (Balsamo et al., 2009) or a high degree of climate differences between sites (Wang et al., 2011). Others highlighted the quality of specific sites and some provided evidence for this decision based on energy closure (Napoly et al., 2017). In contrast to the often detailed explanation for why a specific model or parameterisation is chosen, the defence of specific evaluation datasets often lacks a coherent rationale. Most commonly, “high quality” or “longer” datasets are selected. A longer dataset may sample more years, but a single month of data from another site might provide more information regarding a specific phenomenon (e.g. the response to a drought or a heat wave). Sampling more PFTs might be valuable, but might also bias results if the selected sites fall within a similar behavioural regime not well discretised by PFT. In short, it would be useful to be able to make clear, evidence-based statements about the relative predictability of different sites based on meteorological patterns or local site characteristics. This would allow modellers to make informed site selection choices for model development and evaluation that maximise coverage of diverse site behaviours and ultimately help to reduce uncertainty in model projections. Whether or not any of the studies mentioned above are biased by a lack of consideration for predictability is unknown because this was not part of the selection process for the sites chosen.

Predictability can broadly be defined as the ability to reproduce a property of a system given only knowledge of the variables that are causally related to that property. Predictability of a system should therefore also encompass the capacity to predict changes in the property of interest given changes in the drivers of the system, for example differing flux responses in wet and dry periods. Differences in predictability between sites might be due to many factors, including, but not limited to the following:

- variability of meteorology (e.g. strong seasonality in precipitation compared with low variability, large seasonal cycles in incoming radiation compared to small seasonal cycles, and stochastic events);
- complexity or consistency of the site itself (e.g. orographic effects, managed land use including different irrigation and cropping patterns, vegetation and soil structures);
- broader-scale impacts (e.g. climate type, regional aridity, teleconnections to major oceanic drivers, landscape heterogeneity, geological basins); and
- technically sourced variance (quality of instrumentation, assumptions and application of eddy covariance methodology, post-processing).

In this context we might envisage predictability to be the degree to which a “perfect” model could accurately estimate measured fluxes at a site given appropriate meteorological variables and relevant site characteristic information. Of course, we lack a perfect model, and the accuracy of our observational data is always limited by measurement error and noise in the system being measured. As such, any practical measure of predictability will be limited in accuracy, but this does not mean that it cannot still be useful.

Some predictability metrics do exist: Colwell (1974) defines a predictability metric based on constancy in time and contingency on season but this metric only captures one aspect of performance – temporal correlation. Abbas and Arif (2006) also proposed a number of time series predictability metrics, but these are only useful in univariate time series prediction for which the forecast is made only given knowledge about the predicted variable itself, rather than knowledge of other predictor variables, as is the case with flux prediction from meteorological variables. Kaboudan (2000) provides another univariate predictability metric.

Since existing predictability metrics are not suitable to our problem, below we detail a new metric of site predictability and analyse the FLUXNET 2015 sites according to their predictability. To do this, we applied a suite of empirical models to predict fluxes at the 155 flux tower sites with half-hourly data included in the Tier 1 FLUXNET 2015 release. We also investigate several hypotheses that might explain the variation in site predictability in different locations. Finally we attempt to provide a sound theoretical basis for site selection for LSM development and model intercomparison projects. This will allow expectations of model performance to be better defined by providing a priori estimates of local predictability based on site characteristics. We hope this can provide some mitigation of the potential for ad hoc site selection to shape judgement of how well LSMs perform.

## 2 Methods

This study focuses on the predictability of three key fluxes: net ecosystem exchange (NEE), sensible heat ( $Q_h$ ), and latent heat ( $Q_{le}$ ). We focus on predictability as a consequence of meteorological drivers only and ask whether predictability at a specific site can be understood in terms of the differences in flux behaviour given particular site and meteorological conditions relative to the flux behaviour that would be expected at other sites given the same conditions. We do this by training a suite of empirical models to predict fluxes based on meteorology twice for each FLUXNET site. First we train the empirical models using all of the available data from all of the available sites at once (“global training”) to characterise the general expected flux behaviour given a specific set of meteorological conditions. Then we retrain the models using only data from the individual site in question (“local training”). The globally and locally trained versions of the models are then used to make predictions at each FLUXNET site, and their performances are compared using a range of performance metrics. Any improvement in performance by the locally trained model over the globally trained model is an indication of driver–flux relationships that are unique to the site in question (note that this may include systematic errors in measurement). Since such a site exhibits relationships between drivers and fluxes that are not broadly shown at other sites, we argue this site has lower predictability than a site that acts more similarly to the global behaviour.

To quantify this, we plot the local and global metric values as Cartesian coordinates, then convert them to polar coordinates (see Fig. 1). The origin represents the best possible performance metric value, so distance to the origin represents the mean site performance across the global and local simulations. The degree to which each point drops below the 1 : 1 line will be our definition of uniqueness. To illustrate, imagine a model that perfectly represented all relevant processes and fully utilised all of the available information in the input data to make the best possible prediction. This model could be used to assess site predictability based on the residual sum of squares against observations, and this metric value could be compared across different sites. No such model exists of course, and we therefore use empirical models to assess the predictability of the data while minimising assumptions about the functional form of any relationships between variables. For further discussion of why empirical models are suitable for estimating the information available in FLUXNET data, see Best et al. (2015) and Haughton et al. (2016).

This procedure is model-agnostic, and we have used models in the framework developed in Best et al. (2015) and Haughton et al. (2018) because they are conceptually simple, but able to fit complex functional relationships. These models (listed in Table 1) include some simple linear regressions, as well as cluster-plus-regression models. The cluster-plus-regression models consist of a  $K$ -means clustering over

meteorological driving data and then an independent linear regression between drivers and fluxes at each cluster. These cluster-plus-regression models can fit arbitrary functional forms between predictor and response variables when using a high enough cluster count ( $k$ ) and given enough data. The models are not perfectly deterministic, since  $K$ -means convergence is dependent on cluster initialisations, but the variance in the results is small (see the Supplement of Haughton et al., 2018) and unlikely to substantially affect our results. Our use of an ensemble of models at each site further mitigates this problem. The ensemble also allows us to overcome the problems of the simpler models failing to capture behavioural nuances and of the more complex models failing to train at some sites due to insufficient data (described below).

Models used various combinations of meteorological driving variables: downwelling shortwave radiation ( $S$ ), surface air temperature ( $T$ ), relative humidity ( $H$ ), wind speed ( $W$ ), and precipitation ( $P$ ). Models also used a number of derived variables, including difference in air temperature and specific humidity since dawn ( $dT$  and  $dQ$ , respectively), as well as lagged averages of each meteorological forcing (e.g.  $lH10d$  indicates a 10-day lagged average of  $H$ ), for which the lags were chosen pseudo-optimally, and lagged differences from the current time step (e.g.  $lT6hM$  indicates a 6 h lagged average of air temperature minus instantaneous air temperature). Haughton et al. (2018) showed that each of these driving variables we use here added predictive power to the models and had relatively low correlation, avoiding problems of collinearity. The models referenced below follow a standard naming scheme that indicates the structure of the model; for example,  $S\_lin$  indicates a linear regression using only shortwave down, while  $STHdT\_lS30d\_km243$  would indicate a cluster-plus-regression model with 243 clusters, with shortwave down, air temperature, relative humidity, temperature difference since dawn, and a 30-day lagged average of shortwave down as inputs. None of the models are provided with site characteristic data (e.g. geographic, soil, or vegetation information) as we want to use the models to test the effects of these characteristics on predictability. A complete list of the empirical models is shown in Table 1.

To run the models, we converted the raw FLUXNET 2015 Tier 1 data (only sites with half-hourly data, 155 in total) using the FluxnetLSM tool developed by Ukkola et al. (2017). In all cases, the empirical models are trained only on high-quality non-gap-filled data according to quality control (QC) flags from FLUXNET 2015 and FluxnetLSM. The models are then run on all available data (including gap-filled data to maximise the time coverage of empirical models with time-lagged drivers) and evaluated only on time steps with non-gap-filled data. We then plotted each metric value for each site in a scatter plot, with the global value on the  $x$  axis and the local value on the  $y$  axis. We decomposed that information into the following.

1. *Mean performance* is the arithmetic mean of the local and global metric at each site defined by distance from the origin. Higher is worse in most metrics, including root mean square error (RMSE), but lower is worse in the case of Pearson's correlation coefficient (Corr) and Perkins' distribution overlap metric (Overlap; Perkins et al., 2007).
2. *Uniqueness* is the angle below the 1 : 1 line. Uniqueness is calculated as  $\frac{4}{\pi} \arctan(\frac{x-y}{x+y})$  such that if, for example, RMSE is 0 locally and some positive value globally, uniqueness will be 1.

Note that because the best possible result for some metrics is 1 (e.g. Corr and Overlap), in those cases we subtract the value from 1 such that the best result is 0 before calculating the uniqueness so that it can be interpreted the same way across metrics: positive numbers indicate better local performance. We avoid transforming metrics for mean performance so that metrics are in their standard units.

In general, this definition of uniqueness ranges from  $-2$  to  $2$  and is strictly between  $-1$  and  $1$  for metrics that only have values on one side of "best" (e.g. RMSE is positive definite, Corr is always less than or equal to 1), but in most cases should lie between 0 and 1. A model's uniqueness is 0 if the local and global simulations perform equally well, between 0 and 1 if the local model performs better than the global model, and negative if the local model performs worse than the global. Negative values are unusual and indicate that the local meteorological forcing provides insufficient useful information to increase performance and that the local model has failed in a spurious way (discussed in more detail below). The number of negative uniqueness values for each metric and each model is shown in the last three columns of Table 1 out of a maximum of  $10 \times 155 = 1550$  cases. We note that  $ST\_lin$  Corr has a relatively large number of negative uniquenesses, which can be accounted for by better estimates of the  $S$  and  $T$  variable coefficients in the global model. There are also negative Overlap uniquenesses in the linear models, which is likely due to the global model training resulting in a stronger trend and thus a higher variance, counteracting the fact that empirical models are generally smoothers.

The uniqueness and mean performance metrics are shown for RMSE in Fig. 1 for the  $S\_lin$  and  $short\_term243$  models to illustrate how to interpret later figures: *uniqueness* is the angle measured clockwise from the origin (the optimal metric value) and the 1 : 1 line (equal local and global performance), and *mean performance* is the average performance of the local and global simulations given by the distance of each point from the origin. Each point is a different site. Figure 1 also illustrates the differences between the results when the local training data are identical to the testing data and when they differ due to mismatch between the meteorological and flux QC flags between training and testing. In each panel, the blue points indicate the local and global RMSE values used for the simulation in the remainder of the study.

**Table 1.** Number of sites at which models ran successfully for global training (columns 1), the number of successful local simulations for each variable (columns 2–4), and the number of cases of negative uniqueness (indicating that the local model performed worse than the global model) for each of the three metrics (columns 5–7). Three sites (CA-Man, DE-RuR, and DE-RuS) did not include relative humidity, so all models including that variable failed, including the global model. The uniqueness calculations are sometimes, particularly in the case of correlation, prone to numerical error, so the threshold we use here is  $-1 \times 10^{-8}$  instead of zero.

Model name	Successful simulations				Negative uniqueness		
	Global	NEE	$Q_h$	$Q_{le}$	RMSE	Corr	Overlap
S_lin	155	155	155	155	2	0	120
ST_lin	155	155	155	155	1	20	117
STH_km27	152	152	152	152	3	4	49
STH_km81	152	146	150	149	3	4	39
STH_km243	152	108	133	131	3	3	25
STHW_km81	152	142	148	147	2	3	23
STHW_km243	152	88	126	123	2	2	11
short_term243	(STHWdTdQ_IT6hM_km243)						
	152	65	98	97	1	1	9
long_term243	(STHWdTdQ_IS30d_IR30d_IH10d_IT6hM_km243)						
	152	3	12	12	0	0	1

The tail from each point indicates where these values would have been if the same QC data that were used for training were used for evaluation (meteorological + flux QC instead of just flux QC). The tail points are strictly at or below the 1 : 1 line (as the empirical fit is optimised for RMSE locally, but not globally). The flux-only QC-evaluated blue points can shift, and some lie very slightly above the 1 : 1 line. Tails pointing towards the origin indicate that these simulations' mean RMSE is worse than it would be using the training QC. Tails pointing clockwise indicate that these simulations appear to be less unique under RMSE than they would be using the training QC. Perhaps surprisingly, the differences for the simpler model appear much more variable, but we also note that most of the larger discrepancies result in similar changes using the global and local evaluation, meaning the bias is mostly in the mean performance and less so in the uniqueness metric. We considered the option of using the training QC flags for the evaluation period; however, this would result in different models having very different evaluation periods.

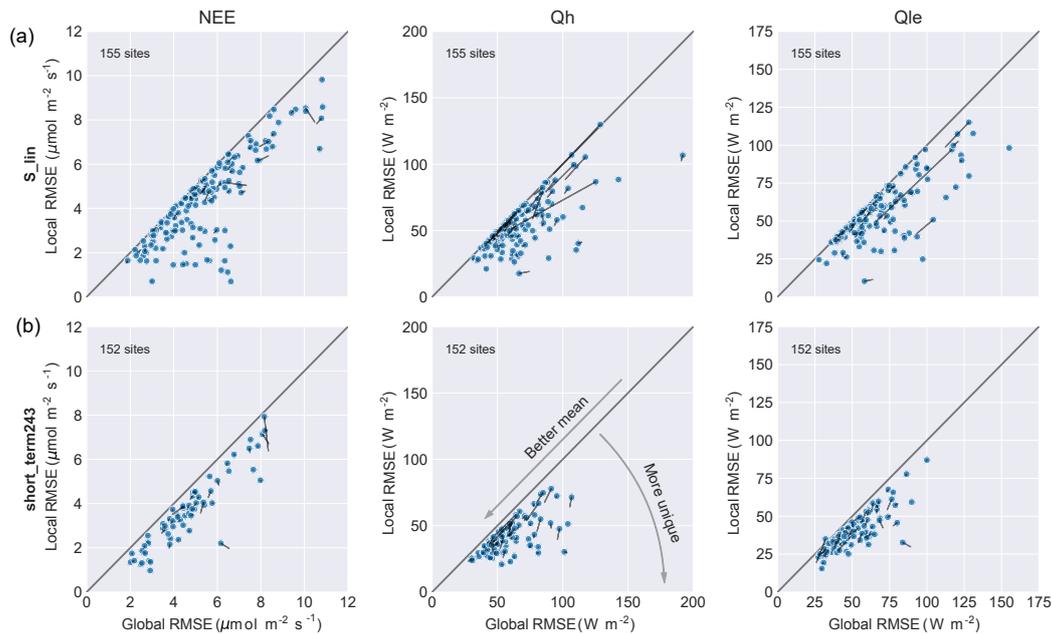
Best et al. (2015) used the concept of ranking over multiple performance metrics and then aggregating over rankings to arrive at a single value that represented a broad concept of performance for each model. This methodology is extremely useful for model evaluation using FLUXNET site datasets. However, due to the very different distributions of results for the different metrics (discussed below), we avoided aggregating over metrics and instead examined a set of key metrics separately for their ability to capture independent aspects of performance. The metrics we chose were RMSE, as it provides an overview of model accuracy in relevant units, Pearson correlation (Corr) as a measure of temporal correlation, and Perkins' distribution overlap metric (Overlap), as it gives

a measure of the match between the observed and modelled distributions.

## 2.1 Caveats

In an idealised experiment, even if we exclude the possibility of overfitting, the locally trained model should always perform better than the globally trained model to some degree. This is because the local model is predicting the same data that it is trained on and should capture any behaviour that is site specific (that is, it is being tested in-sample). However, there are a number of factors that might prevent this from happening.

First, a model may require a substantial amount of data to avoid overfitting, and some sites may not provide enough data to train the model locally. For example, very few sites had enough data to adequately train the long\_term243 model from Haughton et al. (2018), which has 10 input variables ( $S$ ,  $T$ ,  $H$ ,  $W$ ,  $dT$ ,  $dQ$ ,  $IS30d$ ,  $IP30d$ ,  $IH10d$ ,  $IT6hM$ ) and 243 clusters. This model potentially requires hundreds of non-gap-filled data samples at each cluster to obtain a reliable linear regression estimate (so  $\sim 10^4$  samples in total). The more complex models often fail to run locally or run successfully but produce erroneous results (e.g. due to too few samples to obtain reliable regression results for a  $K$ -means cluster; this problem is described in detail in the Supplement in Haughton et al., 2018). To mitigate this problem, we modified the models from Haughton et al. (2018) to ensure that each cluster always contained a number of samples at least 5 times greater than the number of input variables. When clustering failed, it was reattempted a further nine times, and if that was not successful, the model was excluded. See Table 1



**Figure 1.** RMSE values for the global ( $x$  axis) and local ( $y$  axis) model simulations. Columns show the three fluxes, panel (a) shows data for  $S_{lin}$ , and panel (b) for  $short\_term243$ . The tails of each point show where the local and global RMSE values would be if the same QC flags were used for training and evaluating (the intersection of meteorological and flux QC flags). Tails pointing toward the zero in each axis indicate the model would have performed better using these QC flags. In other words, a tail pointing towards the origin means that our evaluation method has a bias toward worse mean RMSE, and a tail pointing clockwise from the origin indicates that our method has a bias towards lower uniqueness.

for details on how many models ran successfully for each variable.

Second, as noted above, the training and testing data for the local model are in practice nearly always different because the QC flags for the flux variables being evaluated against do not correspond perfectly with the meteorological forcing variable QC flags. Models are trained only on data that have good meteorological and flux QC flags for all relevant variables. However, simulations are evaluated on a larger subset of all data: those time steps marked as good QC for the flux variable alone. The motivation for doing this is to ensure that all of the different empirical models are evaluated on the same number of time steps. So, for instance, with the  $S_{lin}$  model predicting  $Q_{le}$  at a particular site, the number of time steps with good  $S$  and good  $Q_{le}$  QC flags might be only 80 % of the time steps with good  $Q_{le}$  QC flags only. Consequently, the model will be trained only on the 80 % of the period that it is tested on. This problem is exacerbated for models with more inputs and for models with lagged average inputs, which will usually be trained on substantially smaller subsets of data than they are evaluated on.

Lastly, “performance” is dependent on metric, so performance will only be strictly better locally for metrics that are optimised by the regression-based structure used in the empirical models. For instance, ordinary least squares linear regression optimises RMSE in the training dataset, so assum-

ing the training and evaluation datasets are identical, then the RMSE of the local model will be strictly not worse than the RMSE of the global model. However, metrics which assess model performance in terms of distribution, such as the distribution overlap metric or temporal correlation, may occasionally show that the local model performs worse than the global model, even when the local model is clearly better under RMSE. This is particularly pertinent in the context of a generally useful predictability metric.

These caveats are worth keeping in mind, but in the majority of the results below, they do not play a particularly large role. We are confident that our predictability metrics are satisfactory for a first attempt to estimate site predictability.

## 2.2 Hypothesis testing

Once we have a predictability metric, we can generate a number of hypotheses about what might determine predictability at different sites. Below we list several hypotheses, many of which intersect, so in some of these cases we also mapped some predictability metrics against two hypothetical predictability sources.

### 2.2.1 Mean annual temperature and precipitation

Sites with higher mean temperature tend to be those closer to the Equator and tend to have a smaller annual temperature

cycle range. All other things being equal, we might therefore expect warmer sites to be more consistent over time, have a more constant response to meteorological forcing, and therefore be more predictable. Sites with higher average precipitation would be expected to have fewer drier periods, more consistently available soil moisture, and higher humidity, resulting in a damped daily temperature cycle, and are therefore likely to be more predictable.

For these hypotheses, the FLUXNET site data are not always adequate, as the mean may not be perfectly representative of the true climatology of the site. For example, if the site only has a short dataset measured over a particularly wet or dry period, or if a site has a strong seasonal pattern in the quality of the temperature data, the mean would be less representative of the general site characteristics than a longer-term dataset. For this reason, we calculated mean annual temperature and precipitation from the half-degree CRU TS4.01 data (Harris and Jones, 2017) from 1961–2016 and using the nearest neighbouring grid cell.

### 2.2.2 Budyko curve deviations

The Budyko curve (Gerrits et al., 2009) plots an evaporative index against a dryness index, with the expectation that sites should, in the long term, fall along a function of dryness that is both energy and water limited. Sites that fall further from the Budyko curve may indicate data errors, hydrological uniqueness (for example, rapid drainage or external water sources), or that the data in question are not long enough to adequately capture and account for long-term internal variability. Whatever the cause of divergence from the Budyko expectation, we would expect that more divergent sites would be more difficult to predict.

### 2.2.3 Vegetation type

The FLUXNET 2015 sites are categorised by International Geosphere–Biosphere Programme (IGBP) vegetation types. There is a widely held assumption that different vegetation types behave differently in response to similar meteorological forcings (although this assumption was questioned by Alton, 2011), and this presumably also applies to the overall predictability of a site. We grouped IGBP vegetation types into five major groups.

- *Evergreen forest*: evergreen broadleaf forests, evergreen needleleaf forests (49 sites).
- *Deciduous forest*: deciduous broadleaf forests, deciduous needleleaf forests (16 sites).
- *Mixed forest*: mixed forests (7 sites).
- *Crop*: cropland–natural vegetation mosaics, croplands (15 sites).
- *Grass*: grasslands (29 sites).

- *Shrubland*: barren or sparsely vegetated, closed shrublands, open shrublands (11 sites).
- *Savannah*: savannahs and woody savannahs (13 sites).
- *Wetland*: permanent wetlands (15 sites).

Other IGBP vegetation types not represented in FLUXNET 2015 Tier 1 included snow and ice, unclassified, urban and built-up lands, and water bodies. We then compared the performance metrics across these groups.

### 2.2.4 Energy balance closure

Wilson et al. (2002) showed that FLUXNET sites often have a problem closing their energy balance. Net incoming radiation ( $R_{\text{net}}$ ) does not match the total energy accounted for by the heat fluxes ( $Q_{\text{h}}$ ,  $Q_{\text{le}}$ , and  $Q_{\text{g}}$ ) and changes in heat storage, on average having an imbalance of around  $-20\%$  at each site, but ranging from  $-60\%$  to  $+20\%$ . Since this imbalance pertains to boundary conditions that are all measured (sometimes with the exception of  $Q_{\text{g}}$ , although that can be assumed to be too small to account for the difference on a long enough timescale), the imbalance indicates some problem with either the measurement system or the eddy covariance methodology. We would assume that sites with worse energy imbalances are likely to be more difficult to predict. We calculated the energy closure gap as the  $\text{energy\_gap} = \text{mean}(R_{\text{net}} - Q_{\text{h}} - Q_{\text{le}} - Q_{\text{g}})$  (we used  $Q_{\text{g}} = 0$  for sites missing  $Q_{\text{g}}$ ) and also compared sites by normalised energy gap using  $\text{abs}(1 - \text{energy\_gap} / R_{\text{net}})$ . Note that this is not the exact formulation used by Wilson et al. (2002), but it serves the same purpose – to identify energy closure imbalances.

### 2.2.5 Record length

Since many of the longer-term or rarer behaviours mentioned above are more likely to be captured adequately in site datasets that span longer periods, we should expect that longer sites would be more predictable. On top of this, site principal investigators are likely to become more familiar with problems with their sites, equipment, or methods and more likely to be able to find solutions to those problems over time, so we expect that data quality should improve in longer site datasets. We examined the number of years in the dataset as a predictor for uniqueness.

### 2.2.6 Gap-filling ratio

Some bad data are likely to make it through quality assurance procedures, and such bad data would make prediction more difficult. It is not clear how one would identify such data in most cases, unless patterns are obvious. We visually inspected the time series plots produced by FluxnetLSM for each relevant variable for each site and saw no obvious problems within the data periods marked as good QC. However,

some proxy for data quality may be possible, and particular sites with more high-quality data may indicate better instrumentation or procedures and less likelihood of having bad data marked as high quality. We compared sites by the proportion of data marked as good QC to total data, averaged over all variables, separately for meteorological and flux variables.

We also looked at various other hypotheses, including the impact of aridity index, daily, seasonal, and interannual variability in temperature and precipitation, and geographic remoteness. The majority of these had few interesting trends or other patterns, so we have excluded them from the paper. They are included in the Supplement.

We note that some determinants of predictability could not be calculated for some sites. For example, a number of sites have no non-gap-filled data for precipitation, so mean annual precipitation cannot be calculated, and neither can dependent determinants, such as aridity index. In such cases, the sites are omitted from individual analyses.

### 3 Results

#### 3.1 Viability of the “predictability” metrics

First, we show how the uniqueness and mean performance metrics vary across all models and sites for RMSE, Corr, and Overlap. Figure 2 shows each of the three metric pairs (rows) for each of the three fluxes (columns) and how those metrics vary with mean annual temperature in the CRU TS4.01 dataset. Here the uniqueness and mean performance values are similar to those explained in Fig. 1, but use more complex models in addition to S\_lin (listed in Table 1). Note that uniqueness values less than zero indicate that the local model is not performing better than the global model, as noted above.

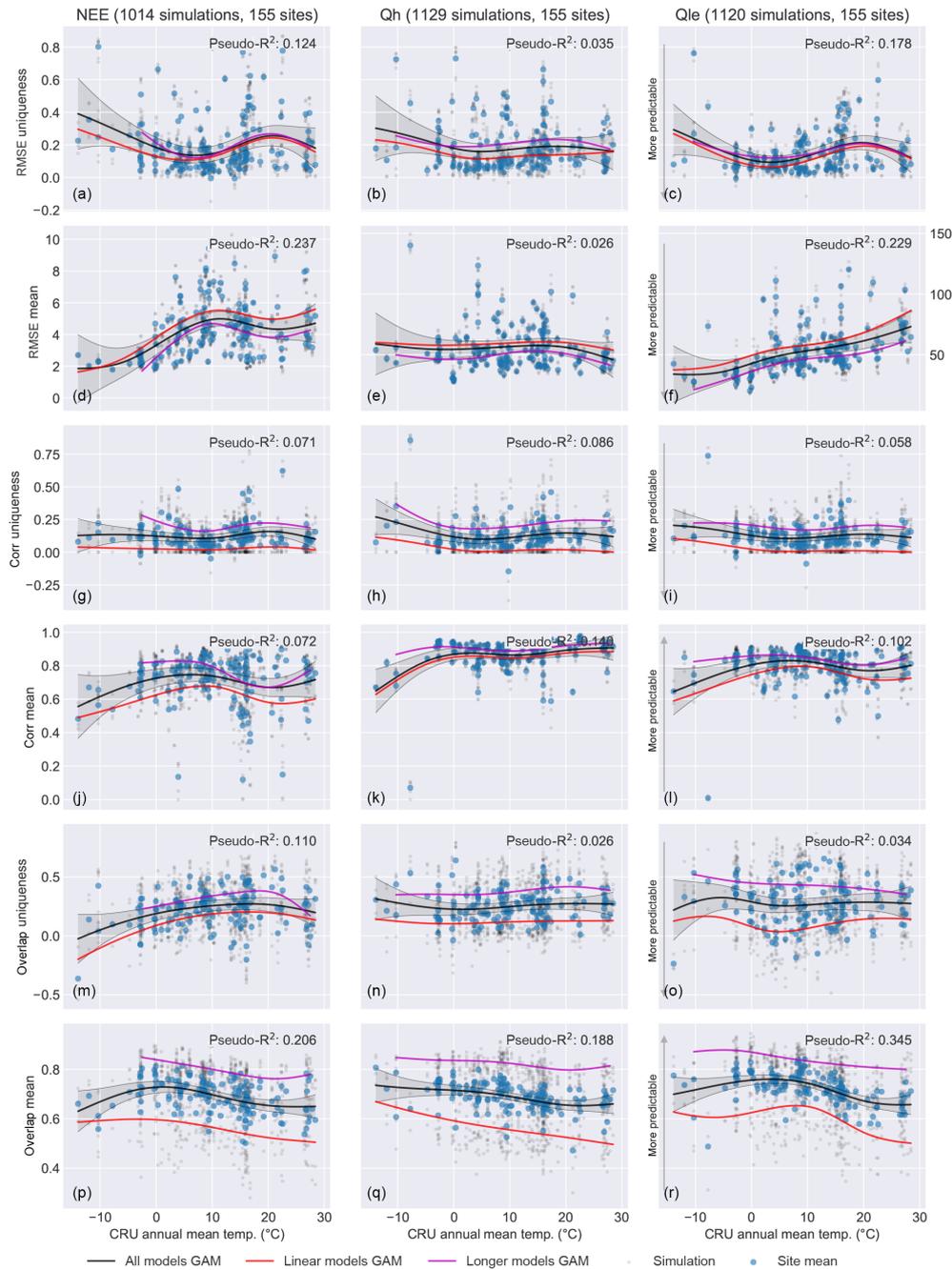
- Row 1 shows the RMSE uniqueness of each site, with more unique sites having higher values.
- Row 2 shows the mean of the RMSE of the global and local simulations for each site. For this metric, one might expect that sites that are more difficult to predict would have higher values, but note that sites with more available energy will generally tend to have larger fluxes and so higher RMSE values, regardless of uniqueness.
- Row 3 shows correlation uniqueness. Like RMSE uniqueness, higher values indicate lower site predictability. Note that there are a large number of zero values for this metric because for instantaneous linear regression models, correlation is always identical (or inverted) between global and local models since they are using the same input data, so uniqueness is always 0.
- Row 4 shows the mean correlation with observed values for local and global simulations – sites with a low cor-

relation are more difficult to predict (at least by these models). Note that there are a few simulations with zero mean correlation – these are cases in which linear regressions had global and local gradients with opposite sign, resulting in an exactly opposite correlation. In those cases, the zero does not indicate that the global and local simulations had low correlation.

- Row 5 shows the Overlap uniqueness. Higher values indicate sites for which the local Overlap was better than the global Overlap, and negative values indicate the global model performed better in terms of Overlap.
- Row 6 shows the mean model–observation Overlap values of global and local models, and lower values indicate a site that is harder to model in terms of Overlap (Overlap = 1 indicates that the model’s flux distribution is identical to the observed distribution).

All plots have a fitted generalised additive model (GAM) line, added to help indicate trends in the site means. It is estimated using the pyGAM package (Servén, 2018) using eight splines and plotted with a 95 % confidence interval. We have also fitted two other GAM models using subsets of the model ensemble: in each such plot, the red line represents a GAM fit using only the linear regression models (S\_lin, ST\_lin), and the purple line represents only the models with lagged input variables (“longer models” – short\_term243, and long\_term243). These serve to show any differences in the predictability metrics that are contingent on model complexity, non-linearity, or input variables.

In Fig. 2, we see that there is some consistent behaviour in the predictability metrics, which might indicate that mean annual temperature is a driver of predictability, but in general any consistency in the behavioural patterns is not strong. For instance, for RMSE uniqueness (first row), we see a slight increase in uniqueness in sites that are cooler ( $< -5^{\circ}\text{C}$ ) and sites that have a mean annual temperature around  $20^{\circ}\text{C}$  for both NEE and  $Q_{\text{le}}$ . That pattern is less distinct in  $Q_{\text{h}}$ . There is a stronger trend in RMSE mean (second row) for  $Q_{\text{le}}$ , but this is likely largely due to the fact that warmer sites naturally tend to have larger heat fluxes. It seems surprising that  $Q_{\text{h}}$  does not exhibit the same behaviour, since it is more directly related to temperature. Correlation uniqueness (third row) and mean (fourth row) show a similar pattern to RMSE uniqueness for NEE and  $Q_{\text{le}}$ , in which cooler sites and sites around  $20^{\circ}\text{C}$  tend to be harder to predict well. Patterns in Overlap uniqueness (fifth row) and mean (sixth row) are less clear, but there may be a slight indication of higher uniqueness around  $20^{\circ}\text{C}$  for NEE and possibly a lower distribution uniqueness at higher average temperatures. Note that the negative Overlap uniqueness values are largely due to the fact that regression models do not perform particularly well on extreme values (as indicated in Best et al., 2015). We note that the two subset GAM plots for linear and longer-term models describe similar behaviour in each metric in most



**Figure 2.** Predictability metrics for mean annual temperature for all models. The three columns represent the three fluxes: NEE,  $Q_h$ , and  $Q_{le}$ . The six rows show RMSE uniqueness, RMSE mean, correlation uniqueness, correlation mean, Overlap uniqueness, and Overlap mean. Grey points are individual simulation values, and blue points are site means across all empirical models. The black line represents a GAM fit of the site means across models, and the ribbon represents a 95 % confidence interval. The red line is a GAM fit using only the linear models (S\_lin, ST\_lin), and the purple line is a GAM fit using only the models with lagged variables (short\_term243, long\_term243). Note that the mean RMSE for NEE is an order of magnitude smaller than for  $Q_h$  and  $Q_{le}$ , so we have used a different scale for NEE in the second row ( $Q_h$  and  $Q_{le}$  scale indicated on the right).

panels, here and in later plots. The main differences seem to be largely to do with the more complex models’ ability to capture more of the variance: the mean performance of these models under each metric is better (and the linear models’

worse) than the mean, and the uniqueness is higher for the Corr and Overlap metrics, but quite similar for RMSE.

### 3.2 Determinants of predictability

Since there are a large number of hypotheses to test, only a selection of the most interesting results is shown here. We have also opted to show only the RMSE uniqueness in many plots, since its interpretation is the most straightforward given the regression-based nature of the empirical models and since in many cases it correlates with some of the other metrics. Methods and plots for other hypotheses tested are included in the Supplement, along with further details of some of the results presented below (including plots of the other four predictability metrics). As some determinants are not available for some sites, the number of site and model combinations in each analysis is noted in each figure title. The figures below use the same methodology as Fig. 2.

#### 3.2.1 Predictability as a function of energy and water

The three fluxes we investigate are clearly dependent on the availability of both water and energy. The availability of water is largely defined by precipitation, and temperature provides a proxy for the amount of energy available. We show the RMSE uniqueness for mean precipitation in Fig. 3. There appears to be some trend associated with precipitation, indicating that the driest sites are more unique for all fluxes, particularly for NEE and  $Q_{le}$ .

In Fig. 4, the RMSE uniqueness and RMSE mean are plotted as a scatter plot of mean annual temperature and mean annual precipitation. There appears to be some interaction between the two variables, with drier sites with a mean temperature around 20 °C showing the highest uniqueness. As in Fig. 2, there is also some indication of higher RMSE mean for warmer sites in all fluxes.

Figure 5 shows how the sites sit in the Budyko framework. The first row shows the sites on a standard Budyko diagram, with actual evaporation divided by mean annual precipitation on the  $y$  axis and potential evaporation divided by mean annual precipitation on the  $x$  axis. Theoretically, a site should fall just below the solid blue line, but location can be affected by available water (e.g. inflow or precipitation in the period before the measurement period) or the method of estimating potential evaporation. There do not appear to be strong patterns in the potential evapotranspiration uniqueness (see the Supplement) and actual evapotranspiration appears to have some weak patterns (greater NEE uniqueness at sites with lower evaporation and the opposite for  $Q_h$  and  $Q_{le}$ ; see the Supplement), although these are not particularly clear in the Budyko diagrams in the first row. We also calculate a “Budyko deviance”, which is simply the difference between the actual and predicted values on the Budyko plot normalised by the predicted values such that sites falling further above the Budyko curve have a positive deviance. There does not appear to be any pattern in uniqueness for NEE or  $Q_{le}$  as a function of deviance from the Budyko curve (see second row in Fig. 5); however, there does seem to be some

trend toward higher uniqueness for  $Q_h$  for sites further above the Budyko curve. Note that one site (AU-Lox) is excluded from this plot, as its values are too large (AET / MAR of 11.77 and a PET / MAR of 10.72). Its RMSE uniqueness values are 0.352 for NEE, 0.476 for  $Q_h$ , and 0.438 for  $Q_{le}$ . This site and the other sites with AET / MAR values over 2 are all wetland sites and as such are likely to have surface water available from upstream run-off in quantities far exceeding that due to precipitation alone.

#### 3.2.2 Predictability as a function of vegetation characteristics

Vegetation type is a defining characteristic of different sites, and we would expect different vegetation types to behave differently, reflecting both their adaptations to their environment and their response to the meteorological forcing. In particular, we would expect the behaviour of some vegetation types to be more predictable than others. Figure 6 shows the RMSE uniqueness relative to grouped vegetation type (see Methods). While there are some differences in uniqueness by vegetation type, few are significant. The main significant differences in RMSE uniqueness (Tukey’s honest significant difference test of means across models per site,  $p < 0.05$ ) are the following.

- For NEE, shrubland sites tend to be more unique than all other vegetation types.
- For  $Q_h$ , wetlands are more unique than all forest types, shrubland, and savannah; grass also tends to be more unique than evergreen and deciduous forests and savannah.
- For  $Q_{le}$ , wetlands and grasses tend to be more unique than evergreen forests.

However, there is still substantial overlap between even these groups, and the differences between the vegetation type groups are even less distinct when compared to the other five predictability metrics (see the Supplement).

#### 3.2.3 Predictability as a function of geography

Globally, FLUXNET sites are not evenly distributed in space or in climate regime. Figure 7 shows RMSE uniqueness for NEE as mapped globally and averaged across models for each site. Given that the models are trained on all sites globally and those sites are not evenly distributed around the globe (Fig. 7), we might expect that sites less well represented (more remote) would be more unique. In Fig. 7, there is a hint that more remote sites might be more unique for NEE. Such a pattern is not obvious in the maps for  $Q_h$  or  $Q_{le}$  (see the Supplement). To confirm this, we plotted uniqueness by remoteness defined as the average distance from a site to all other sites (shown in Fig. 15 in the Supplement). There is indeed a weak trend towards uniqueness at more remote

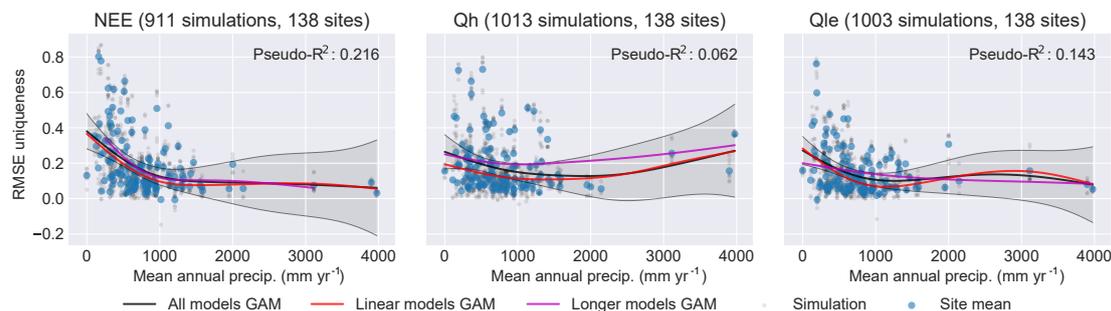


Figure 3. RMSE uniqueness for mean annual precipitation.

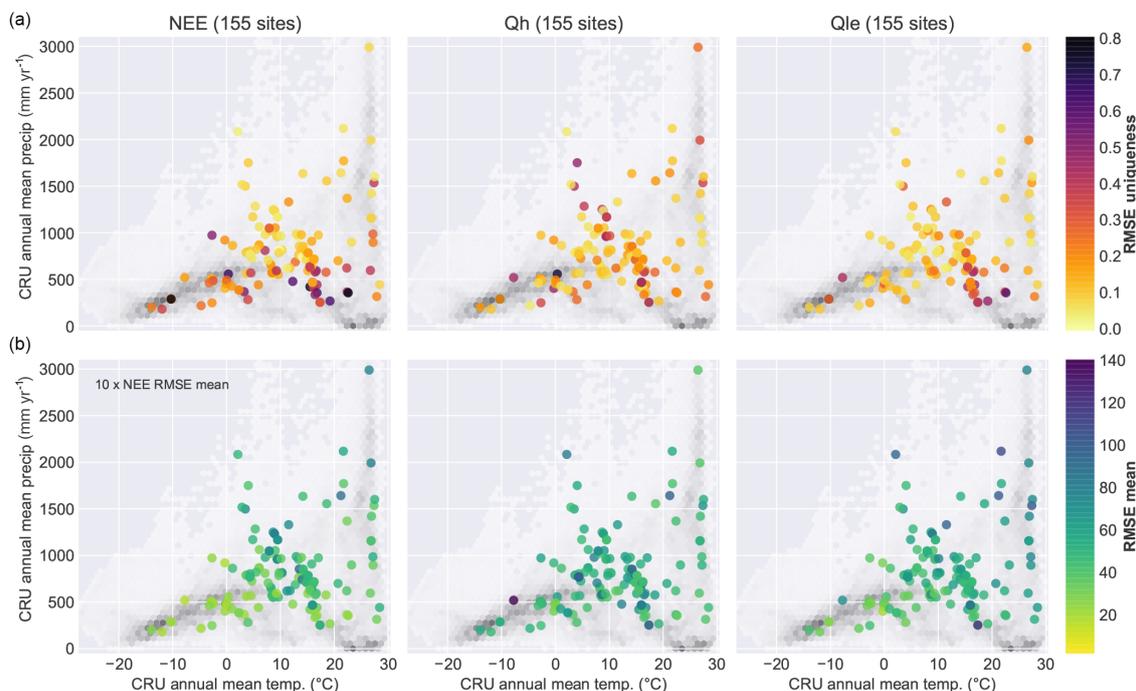


Figure 4. Predictability metrics for mean annual temperature vs. mean annual precipitation (mean across models). Panel (a) is RMSE uniqueness (darker colours indicate a more unique, less predictable site), and panel (b) is RMSE mean performance (darker colours indicate higher overall RMSE). The grey underlying hexbin plot indicates the global distribution of mean precipitation and precipitation from the CRU dataset for all grid cells over land to give an indication of the representativity of these sites.

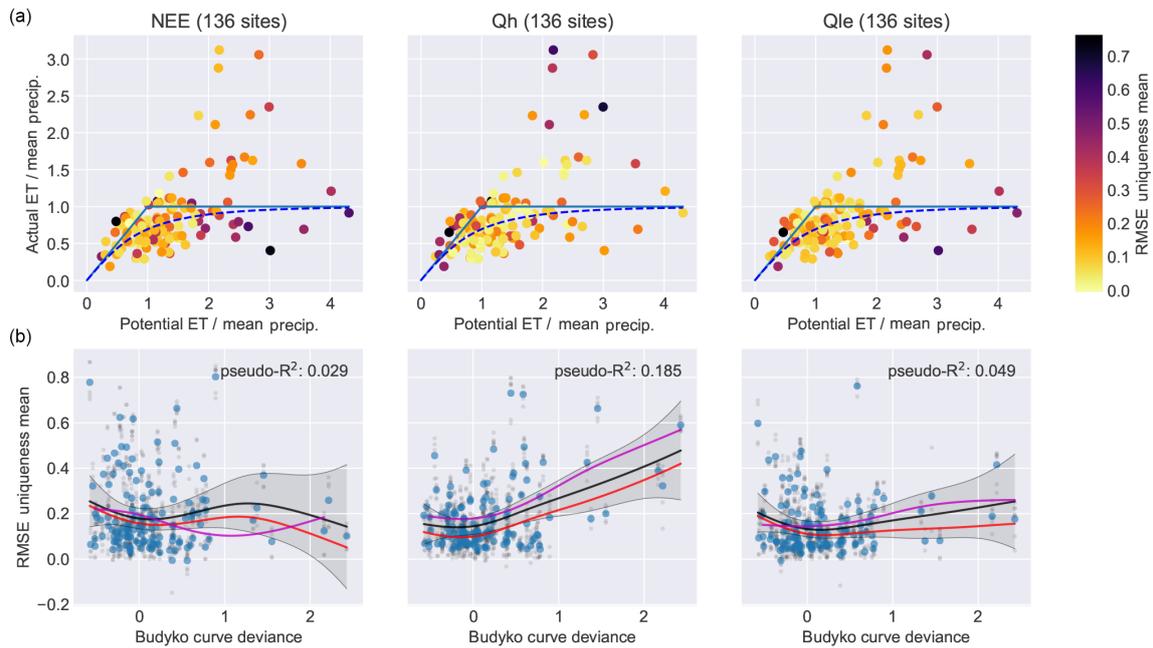
sites for NEE, but not for  $Q_h$  and  $Q_{le}$ . There are no strong patterns evident in remoteness for any variable for any of the other predictability metrics (see the Supplement).

### 3.2.4 Predictability as a function of data quality

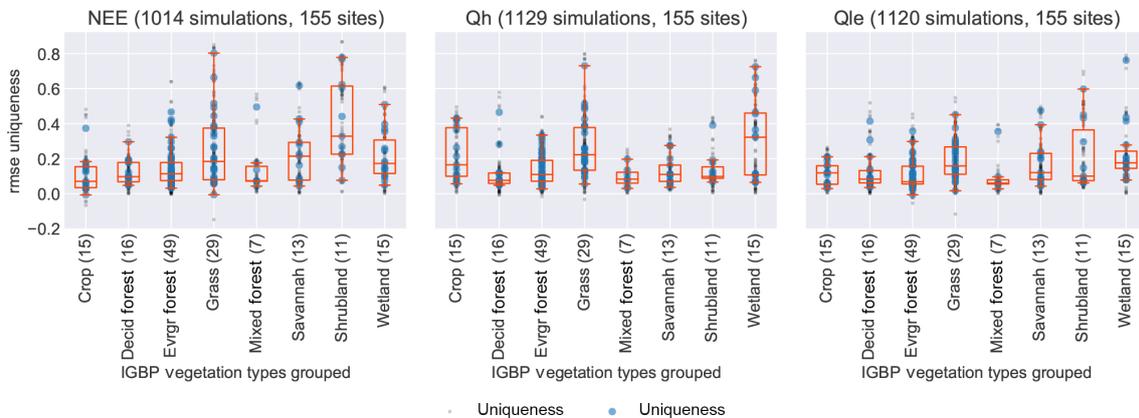
There are a number of ways that data quality might affect uniqueness. We investigated the energy closure problem in FLUXNET by comparing predictability as a function of the actual energy closure imbalance, as well as the energy closure imbalance normalised by  $R_{net}$ . While the energy closure problem in FLUXNET is perhaps one of the most obvious candidates for a determinant of a site’s predictability, there

does not appear to be a strong pattern in the data for RMSE uniqueness in either plot (nor for any of the other predictability metrics; see the Supplement).

The number of years in the dataset is another obvious candidate determinant of predictability. There does seem to be a weak trend towards shorter sites being more unique, particularly for NEE and  $Q_{le}$  (Fig. 8). This may be due to longer sites biasing the global training data such that the global model is more like their local models (and hence they appear less unique). This weak trend is somewhat visible in the other predictability metrics (see the Supplement, for exam-



**Figure 5.** RMSE uniqueness for the Budyko analysis. AET and PET come from the FLUXNET 2015 data, and mean precipitation comes from CRU TS 4.01. In (a) colour indicates RMSE uniqueness averaged across models, in which darker colours are more unique. The solid line represents the theoretical energy and water limitations, and the dotted line represents the Budyko curve (Gerrits et al., 2009). Panel (b) shows sites' deviance from the Budyko curve normalised by the Budyko expectation for the site (sites  $> 0$  lie above the curve in a).



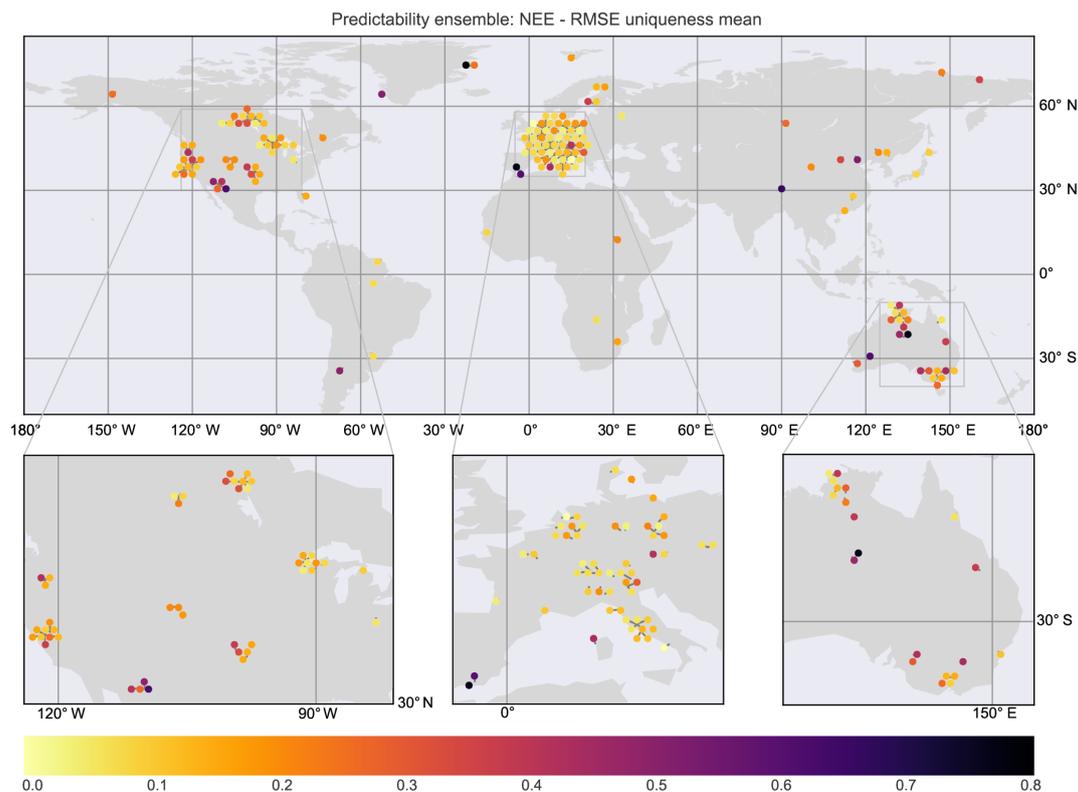
**Figure 6.** RMSE uniqueness for vegetation type (grouped; see Methods).

ple, in mean Corr and mean Overlap), but in each case is not strong enough to be significant.

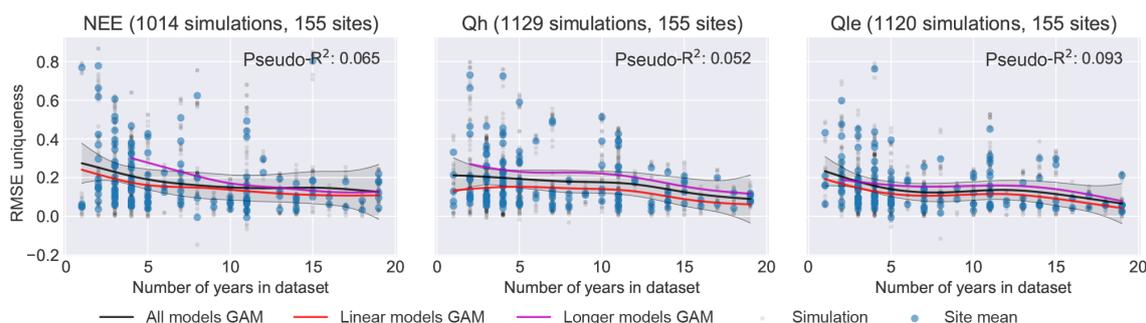
Although the number of years gives a broad-scale view of the amount of data in a dataset, it does not tell the whole story. For example, one 2-year site might contain almost a whole 2 years worth of good QC data, while another might contain less than a single year. As such, we also examined the ratio of good QC data to bad QC data at each site. Figure 9 shows the good QC ratio for the flux data combined. Like many of the other potential determinants of predictability, we did not find any clear patterns.

### 3.3 Predictability summary

While we have shown that predictability is affected to some degree by various factors (dryness and some vegetation types in particular), it is useful to be able to have an overview of the entire dataset. Figure 10 shows the mean RMSE uniqueness for each of the three fluxes sorted alphabetically by FLUXNET site code. Here we see that uniqueness is somewhat consistent across variables at each site. The Pearson correlation coefficients between variables are  $NEE - Q_h$ : 0.113,  $NEE - Q_{le}$ : 0.536, and  $Q_h - Q_{le}$ : 0.456. There are interesting differences within clusters of FLUXNET sites, for



**Figure 7.** Map of NEE predictability: RMSE uniqueness averaged across models; darker colours are more unique for NEE. In this map, sites are moved to avoid overlap, and a black line joins the site to its original location. This way the map gives a better idea of the density of FLUXNET in different regions.



**Figure 8.** RMSE uniqueness for number of years in a dataset.

example the US Metolius sites (US-Me1, US-Me2, US-Me6) are similarly unique for  $Q_h$  and  $Q_{le}$ , but US-Me1 is substantially more unique for NEE, and this site was measured for 2 years after a fire that killed all trees at the site (Law, 2016). This gives some indication that our uniqueness metric does indeed have biophysical meaning. A similar though less distinct pattern can be seen in the CA-SF sites in Saskatchewan; the CA-SF3 site was burnt much more recently than the other two. There is also notable gradation in  $Q_{le}$  RMSE uniqueness in the UCI burn sites (CA-NS) that correlates with time since the last burn. There are likely other comparisons that can be drawn with sites not included in Tier 1, and an extended year-

by-year analysis might also pick up land use changes related to cropping, for example.

#### 4 Discussion

In this paper we applied a suite of empirical models to the 155 flux tower sites with half-hourly data included in the Tier 1 release of FLUXNET. Our aim was to explore how predictability varied across sites contingent on meteorological forcings and then to use this insight into predictability to

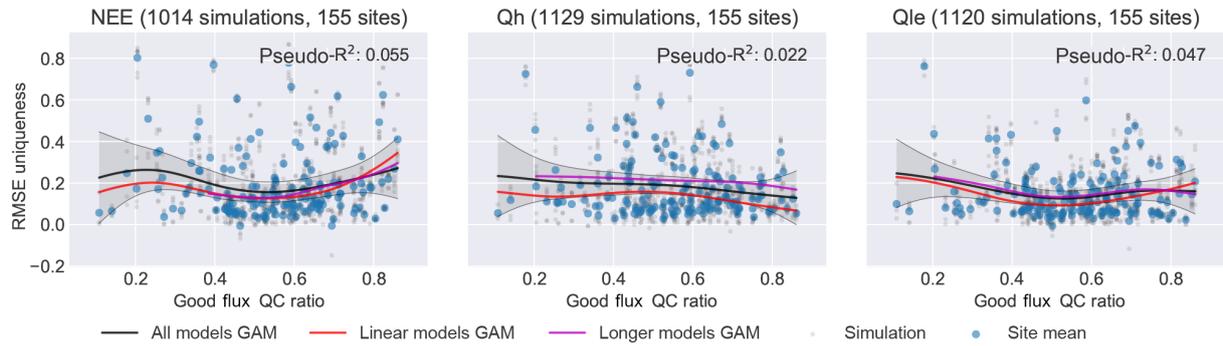


Figure 9. RMSE uniqueness for good QC ratio in flux forcings.

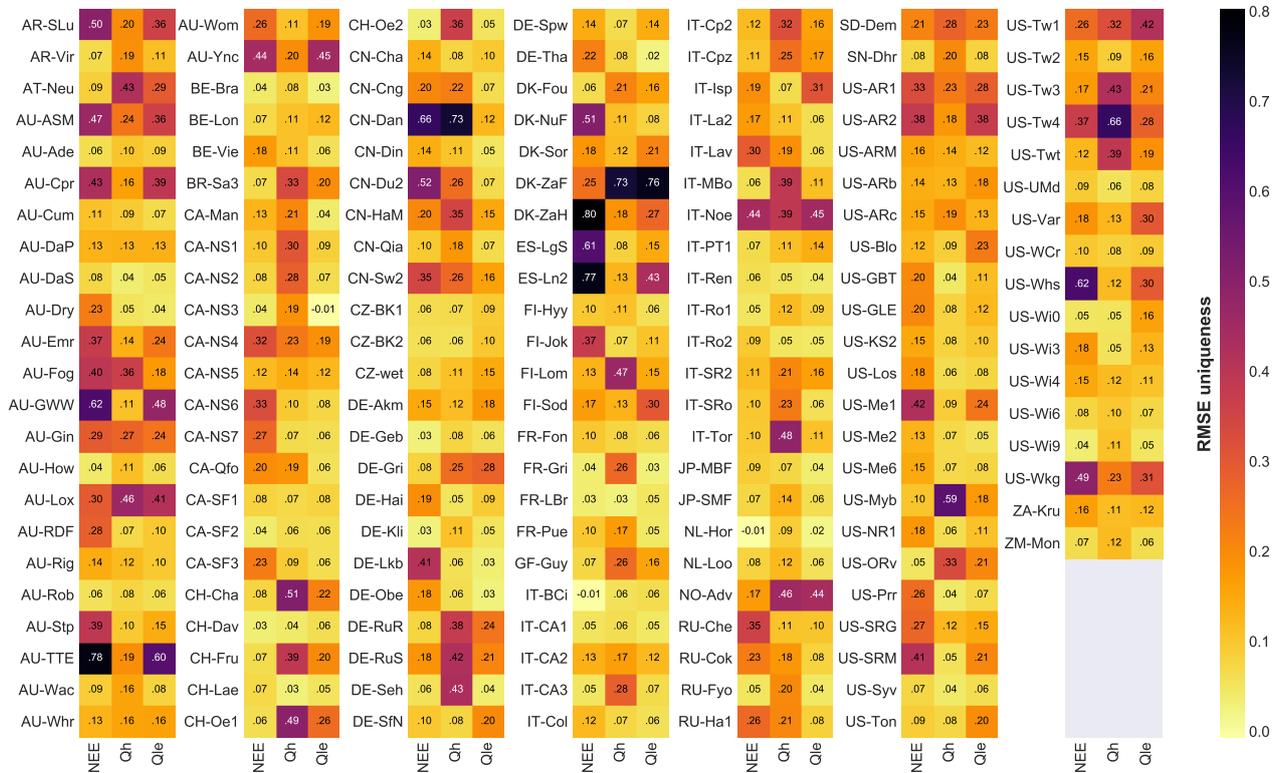


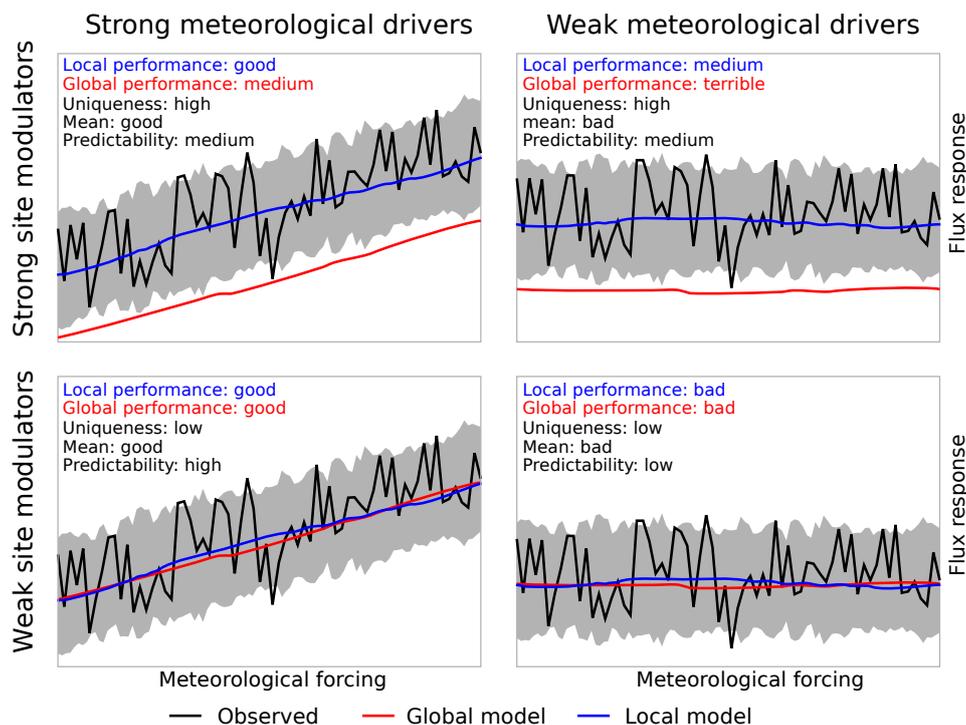
Figure 10. RMSE uniqueness mean across models for each flux at each site in alphabetical order. Darker colours indicate more unique sites for each flux.

develop a more systematic approach to guide site selection in model evaluation exercises.

In our exploration of meteorological predictability, which we characterised as both uniqueness and mean performance for each metric, we have mostly focused on uniqueness of the behaviour of sites. This metric is the most novel component of this study and is, we think, the most interesting aspect of relative site predictability. However, it is also less intuitive than mean performance. It is worth reiterating that uniqueness is not the direct inverse of predictability, and under certain conditions it can actually be correlated with overall pre-

dictability. In an effort to clarify how uniqueness works in an intuitive way, we have laid out the relationships between meteorological drivers and site-specific drivers in Fig. 11.

Figure 11 provides a schematic for understanding how mean performance and uniqueness interact as components of predictability. In all cases, we assume that the observations include some noise or unpredictable component of variability. In the left column, we have the case in which there is a strong universal relationship between meteorological forcings and flux observations (in this case, for example, a linear trend); in the right column, this relationship is weak or non-



**Figure 11.** Schematic of sources of variability and how they affect predictability, mean performance, and uniqueness. The black line and grey ribbon represent the flux observations and the unpredictable internal variability or noise in the system. “Meteorological drivers” indicate universal physical relationships between meteorological forcings and fluxes; here we have indicated this using a simple linear trend as an example. “Site modulators” are characteristics of the site (soil or vegetation properties, storage pools, geography, or data problems) that modulate the meteorological–flux relationship such that it is different to the relationships observed globally; here we have used a simple bias as an example.

existent. In the top row, we have the case in which there is some local site condition that modulates the behaviour of the fluxes (in this case, for example, a simple bias, but it could also be a complex non-linear relationship), and in the bottom row there is no such site-related modulation. In the right column, where there is no meteorological driver relationship, we can see that uniqueness is correlated with performance: in the absence of site modulators (bottom right) there is no predictability (as all variability is due to noise) and both modules perform poorly. In the presence of site modulators (top right), predictability is higher and uniqueness is also higher (because the local model performs better). When there is a strong meteorological driver (left column), predictability is higher in the case in which site modulators are weak (bottom left) because the global model is able to perform well. In this case sites with strong site modulators are less predictable because the global performance is worse, and uniqueness would clearly be correlated with “predictability”. It seems clear that there are strong relationships between meteorological drivers and fluxes (see Best et al., 2015; Haughton et al., 2018), so the inferences made in this study mostly fall in the left column. This suggests that in real-world situations uniqueness is mostly anti-correlated with predictability, but

not perfectly, so we emphasise that uniqueness alone is not an adequate proxy for predictability.

#### 4.1 Site predictability

Our multi-site analysis points to marked variability in predictability. For example, it appears that sites in warmer, drier climates tend to be more unique for all fluxes (Figs. 3 and 4), and sites with a large diurnal temperature range tend to be more unique, particularly for NEE, and to a lesser extent for  $Q_{le}$  (see Fig. 6 in the Supplement). On the other hand, potential determinants that we expected to have quite strong effects on predictability did not appear to do so, for instance mean temperature (Fig. 2), dataset length (Fig. 8), and major vegetation types (Fig. 6). There are several reasons why this might have been the case.

First, the assumption that vegetation type is a major driver of flux behaviour may be wrong. It is perhaps more likely that the widely used approach of analysing FLUXNET sites grouped by a small number of discrete plant functional types is too simplistic, as opposed to exploring differences at a species level or relating differences to a spectrum of plant traits, plant life spans, and metabolism (Kattge et al., 2011;

Reich et al., 1997; Wright et al., 2004). Despite widely acknowledged issues with this PFT approach (Alton, 2011; Pavlick et al., 2013; Van Bodegom et al., 2012), this analysis framework is still used, partly because this is the relevant interpretation metric that LSMs use, but also because the necessary information to dig deeper into site differences along these lines is still lacking. Whilst datasets do exist – for example, TRY (Kattge et al., 2011), GLOPNET (Wright et al., 2004), LEDA (Kleyer et al., 2008), and ECOFLORA (Fitter and Peat, 1994) – often these are not freely available and the existing ancillary information relating to vegetation available via FLUXNET is minimal, which impedes analyses in this direction. This point was eloquently demonstrated by Konings and Gentine (2016), who used data from the AMSR-E satellite to characterise global variations in isohydricity (the degree to which plants regulate their stomata as leaf water potential declines). When they categorised their analysis on a PFT level, differences between sites and species were no longer distinct. This remains an avenue ripe for future analysis.

Second, our predictability metrics (RMSE, Corr, Overlap uniqueness, and mean) may not be appropriate. There may be systematic biases that inhibit our estimate of predictability due to over-representation of particular biomes or because measurement periods were not representative. Our results did indicate a weak trend toward higher uniqueness in sites with shorter measurement periods (see Fig. 8); however, a single year of flux data represents a substantial amount of useful data. Short datasets may also be particularly useful if they happen to include rare events that are not well represented in other datasets, such as regional droughts or heat waves. We nevertheless openly invite constructive arguments against our predictability metric proposal, identification of flaws in the process, or alternative definitions of site predictability or uniqueness.

Should we expect stronger patterns of predictability? In our view, there are strong arguments to support the utility of the FLUXNET data for analyses of predictability. We know that meteorological data measured at flux tower sites do contain a great deal of information about the measured fluxes (Best et al., 2015; Haughton et al., 2018). Indeed the information contained in the meteorological data about fluxes was very consistent across sites and this was key to the success of those experiments. So we know that the empirical models used here, which follow a very similar methodology, are capturing the relationships between the meteorological forcing and the predicted fluxes relatively well.

One way we might improve upon our analysis is by focusing on the differences in performance or uniqueness between models with similar structure, but with extra forcing variables. This would tell us something about the predictability contingent on that variable. For instance, if a model such as STH\_km243 (a 243-centre cluster and regression on short-wave down, air temperature, and relative humidity; see Table 1) performs substantially better at a class of sites than an

ST\_km243 model (the same, but missing relative humidity), then we can infer that predictability at those sites may be contingent on information in the humidity data. This analysis is substantially more complex, so we have left it for future work. The code used to run these models is freely available at [https://github.com/naught101/empirical\\_lsm](https://github.com/naught101/empirical_lsm) (last access: 1 April 2018); version 1.1 was used for this paper.

## 4.2 Model evaluation

Our second major aim was to develop a more systematic approach for LSM evaluation underpinned by differences in site predictability. Recent work has already illustrated the benefits of defining benchmark levels of performance for a given metric at a given site (Best et al., 2015; Haughton et al., 2016). The empirical analysis of site predictability we presented goes one step further, effectively quantifying the additional benefit to model performance that site-specific information can provide in the form of locally trained empirical models.

Land surface modellers will usually rationalise why a particular module was selected to represent a physical process or why a specific atmospheric model was used. Given the new information presented in this paper, we suggest that a thorough rationale for why specific FLUXNET sites were used should be explicit in future publications. Importantly, we note that we could not provide evidence that would support site choices based on PFT (Fig. 6), data length (Fig. 8), or quality control (Fig. 9), so these really do not seem legitimate ways to rationalise choice of sites. We intentionally avoid recommending a particular set of most or least predictable sites, as the suitability of a given set of sites for a particular study will be dependent on many factors. Instead, we recommend that the predictability of the site is one element for choosing sites, but the process of selecting sites should be more rigorous and reported whether or not this recommendation is followed.

How might this site-specific information be used? Perhaps most obvious would be the clustering of sites based on their predictability for use in model evaluation and benchmarking exercises. In Fig. 10, we provide some initial guidance to the LSM evaluation community. Here, sites shown in darker colours are sites that exhibit unusual meteorological–flux relationships for a given flux. These are the sites that are likely to present more of a challenge for process-based LSMs to simulate. On the flip side, lighter coloured sites follow commonly observed patterns of behaviour, so good LSM performance at these should be less surprising and is perhaps less of an achievement. What is important is that modellers should know if the sites they are evaluating their models against are relatively predictable or unpredictable. Our results, Fig. 10 in particular, give modellers a tool that can form the basis of a strategy to choose sites, a defence if they choose unpredictable sites and do poorly, and a challenge if they choose more predictable sites and do well. We suspect that

the best strategy for model evaluation would be to pick a set of sites that includes both very predictable sites and very unpredictable sites, with a distribution informed by the determinants of predictability presented above.

Of particular note in Fig. 10, but of interest beyond LSM evaluation, is that predictability can be markedly different for different surface fluxes at the same site. For example, we see a number of sites with high NEE and  $Q_{le}$  uniqueness and low  $Q_h$  uniqueness (e.g. AU-TTE, AU-Ync, ES-Ln2, US-Whs, US-Wkg) but other sites with high  $Q_h$  uniqueness (e.g. CH-Cha, IT-MBo, IT-Tor, US-Myb). We also see some neighbouring sites with extremely different predictability responses for different fluxes (e.g. DK-ZaF, a wetland site, has very high NEE uniqueness, while the neighbouring DK-ZaH, a heath (grasslands) site, has high  $Q_h$  and  $Q_{le}$  uniqueness). This is evident in other figures in which uniqueness patterns are not shared between fluxes (for example, the differences between  $Q_h$  and the other fluxes in Fig. 5). This provides new justification for different site selection strategies depending on the processes being evaluated.

Our analysis may understandably lead to modelling groups gravitating toward evaluating their models only against a specific subset of FLUXNET sites. We do not think that this is a desirable outcome and thus have not provided a suggestion of specific sites to use. Indeed care must be taken when evaluating models on small groups of FLUXNET sites due to the greater need to consider the various intricacies of site-specific behaviour. When models are evaluated against a large number of sites, an argument can be advanced that unique site behaviour may average out in the noise. If analysis approaches like ours were to lead to small groups of sites being used to evaluate models, greater care would be needed to capture an adequate diversity of site characteristics. For example, it may be that sites we determine to be unique are simply those that have undergone a disturbance event (e.g. clear felling, fire, wind storms, etc.) or are subject to management (e.g. cropping, irrigation). With improved information about site characteristics (e.g. time since last disturbance), these issues could be avoided. A major advance that would be useful to the LSM community would be the systematic publishing of metadata characterising each site in the FLUXNET data.

Finally, the logical next extension of our work is to evaluate a suite of LSMs at the sites deemed to be most and least predictable in order to understand the extent to which site predictability translates into model skill. Such an analysis will of course need careful consideration of the kinds of site eccentricities noted above, noting that information about these eccentricities is not as commonly available as flux and meteorological data. Nevertheless, work of this kind will ultimately help refine how this predictability metric is best utilised in model evaluation strategies.

## 5 Conclusions

In this study, we applied a novel methodology to characterise the predictability of surface fluxes at sites within the FLUXNET 2015 dataset. We had two key aims: first, we sought to explain why predictability varied across the 155 FLUXNET sites, with the expectation that we would find patterns in predictability along gradients such as mean precipitation, vegetation type, or in relation to various bioclimatic metrics both annually and seasonally. Whilst we did show that the 155 FLUXNET sites vary strongly in their predictability, we did not find strong patterns in predictability, with the possible exception of drier sites, which appear to be substantially more unique. We acknowledge that we might have missed some relevant determinants of predictability or some transformation of or interaction between the determinants that we did have available. If we could incorporate these, a clear pattern of predictability might emerge.

Our second aim was to propose a more systematic approach to site selection for model evaluation underpinned by differences in site predictability. While we found fewer patterns in predictability than we expected, we nevertheless now have a basis on which to define a priori expectations of model performance. We suggest that careful choice of FLUXNET sites based on predictability may avoid modellers incorrectly judging their models negatively (via choice of very unpredictable sites) or positively (via choice of very predictable sites). While further work based on this predictability metric is required before a complete rationale for site selection is obvious, we now have a basis on which to develop such a strategy. As a first step, we strongly encourage modelling groups to explain why they choose specific sites for evaluation because, thanks to the FLUXNET community, a lack of available data is no longer a reason for site selection.

*Data availability.* The FLUXNET 2015 dataset is available from <http://fluxnet.fluxdata.org/data/fluxnet2015-dataset/>. The CRU TS4.01 data is available from <http://catalogue.ceda.ac.uk/uuid/58a8802721c94c66ae45c3baa4d814d0>. The BioClim data used in the Supplement is available at <http://worldclim.org/bioclim>. The model and analysis code that were used in the paper are available via [https://github.com/naught101/empirical\\_lsm](https://github.com/naught101/empirical_lsm), and the specific version used is available via <https://doi.org/10.5281/zenodo.1215832>.

**The Supplement related to this article is available online at <https://doi.org/10.5194/bg-15-4495-2018-supplement>.**

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